

2012

New York State Department of Taxation and Finance  
**Resident Income Tax Return**  
 New York State • New York City • Yonkers

IT-201

For the full year January 1, 2012, through December 31, 2012, or fiscal year beginning ... **12**  
 and ending ...

For help completing your return, see the instructions, Form IT-201-I.

Your first name and middle initial <b>CHARLES E</b>	Your last name (for joint return, enter spouse's name on line below) <b>SCHUMER</b>	Your date of birth (mm-dd-yyyy) [REDACTED]	Your social security number [REDACTED]
Spouse's first name and middle initial <b>IRIS</b>	Spouse's last name <b>SCHUMER</b>	Spouse's date of birth (mm-dd-yyyy) [REDACTED]	Spouse's social security number [REDACTED]
Mailing address (see instructions) (number and street or rural route) [REDACTED]		Apartment number [REDACTED]	New York State county of residence [REDACTED]
City, village, or post office [REDACTED]	State <b>NY</b>	ZIP code [REDACTED]	Country (if not United States) [REDACTED]
Permanent home address (see instructions) (number and street or rural route) [REDACTED]		Apartment number [REDACTED]	School district code number <b>071</b>
City, village, or post office [REDACTED]	State <b>NY</b>	ZIP code [REDACTED]	Decedent information [REDACTED]
		Taxpayer's date of death [REDACTED]	Spouse's date of death [REDACTED]

- A Filing status** (mark an **X** in one box):
- 1 ☐ Single
- 2 ☒ Married filing joint return (enter spouse's social security number above)
- 3 ☐ Married filing separate return (enter spouse's social security number above)
- 4 ☐ Head of household (with qualifying person)
- 5 ☐ Qualifying widow(er) with dependent child
- B** Did you itemize your deductions on your 2012 federal income tax return? ..... Yes ☒ No ☐
- C** Can you be claimed as a dependent on another taxpayer's federal return? ..... Yes ☐ No ☒
- D** Did you have a financial account located in a foreign country? (see instructions) . Yes ☐ No ☒
- E** (1) Did you or your spouse maintain living quarters in NYC during 2012? (see instrs) .. Yes ☐ No ☐  
 (2) Enter the number of days spent in NYC in 2012 (any part of a day spent in NYC is considered a day) ..... [REDACTED]
- F NYC residents and NYC part-year residents only** (see instructions):  
 (1) Number of months you lived in NYC in 2012 ..... **12**  
 (2) Number of months your spouse lived in NYC in 2012 ..... **12**
- G** Enter your 2-character special condition code if applicable (see instructions) ..... [REDACTED]  
 If applicable, also enter your second 2-character special condition code ..... [REDACTED]

**H Dependent exemption information** (see instructions)

NYIA1312 11/14/12

First name and middle initial	Last name	Relationship	Social security number	Date of birth (mm-dd-yyyy)

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If more than 9 dependents, mark an **X** in the box. ☐

**Federal income and adjustments** (see instructions)

Whole dollars only

1	Wages, salaries, tips, etc	1	367,755.
2	Taxable interest income	2	970.
3	Ordinary dividends	3	
4	Taxable refunds, credits, or offsets of state and local income taxes (also enter on line 25)	4	
5	Alimony received	5	
6	Business income or loss (submit a copy of federal Schedule C or C-EZ, Form 1040)	6	
7	Capital gain or loss (if required, submit a copy of federal Schedule D, Form 1040)	7	23.
8	Other gains or losses (submit a copy of federal Form 4797)	8	
9	Taxable amount of IRA distributions. If received as a beneficiary, mark an X in the box	9	
10	Taxable amount of pensions and annuities. If received as a beneficiary, mark an X in the box	10	
11	Rental real estate, royalties, partnerships, S corporations, trusts, etc. (submit copy of federal Schedule E, Form 1040)	11	
12	Rental real estate included in line 11	12	
13	Farm income or loss (submit a copy of federal Schedule F, Form 1040)	13	
14	Unemployment compensation	14	
15	Taxable amount of social security benefits (also enter on line 27)	15	
16	Other income (see instrs) Identify:	16	
17	Add lines 1 through 11 and 13 through 16	17	368,748.
18	Total federal adjustments to income (see instrs) Identify:	18	
19	Federal adjusted gross income (subtract line 18 from line 17)	19	368,748.

**New York additions** (see instructions)

20	Interest income on state and local bonds and obligations (but not those of NYS or its local governments)	20	
21	Public employee 414(h) retirement contributions from your wage and tax statements (see instructions)	21	
22	New York's 529 college savings program distributions (see instructions)	22	
23	Other (see instrs) Identify: A-3	23	202.
24	Add lines 19 through 23	24	368,950.

**New York subtractions** (see instructions)

25	Taxable refunds, credits, or offsets of state and local income taxes (from line 4)	25	
26	Pensions of NYS and local governments and the federal government (see instrs)	26	
27	Taxable amount of social security benefits (from line 15)	27	
28	Interest income on U.S. government bonds	28	656.
29	Pension and annuity income exclusion (see instructions)	29	
30	New York's 529 college savings program deduction/earnings	30	
31	Other (see instrs) Identify:	31	
32	Add lines 25 through 31	32	656.
33	New York adjusted gross income (subtract line 32 from line 24)	33	368,294.

**Standard deduction or itemized deduction** (see instructions)

34	Enter your standard deduction (table in the instructions) or your itemized deduction (from Form IT-201-D)		
Mark an X in the appropriate box: <input checked="" type="checkbox"/> Standard - or - <input type="checkbox"/> Itemized			
35	Subtract line 34 from line 33 (if line 34 is more than line 33, leave blank)	34	15,000.
36	Dependent exemptions (not the same as total federal exemptions; see instructions)	35	353,294.
37	Taxable income (subtract line 36 from line 35)	36	
		37	353,294.

NYIA1312 11/14/12



Name(s) as shown on page 1

CHARLES E AND IRIS SCHUMER

Your social security number

IT-201 (2012) Page 3 of 4

**Tax computation, credits, and other taxes** (see instructions)

38	Taxable income (from line 37 on page 2)	38	353,294.
39	NYS tax on line 38 amount (see instructions and Tax computation)	39	24,201.
40	NYS household credit (see instructions, table 1, 2, or 3)	40	
41	Resident credit (see instructions)	41	
42	Other NYS nonrefundable credits (Form IT-201-ATT, line 7)	42	
43	Add lines 40, 41, and 42	43	
44	Subtract line 43 from line 39 (if line 43 is more than line 39, leave blank)	44	24,201.
45	Net other NYS taxes (Form IT-201-ATT, line 30)	45	
46	Total New York State taxes (add lines 44 and 45)	46	24,201.

**New York City and Yonkers taxes, credits, and tax surcharges**

47	NYC resident tax on line 38 amount (see instructions)	47	12,676.
48	NYC household credit (see instructions, table 4, 5, or 6)	48	
49	Subtract line 48 from line 47 (if line 48 is more than line 47, leave blank)	49	12,676.
50	Part-year NYC resident tax (Form IT-360.1)	50	
51	Other NYC taxes (Form IT-201-ATT, line 34)	51	
52	Add lines 49, 50, and 51	52	12,676.
53	NYC nonrefundable credits (Form IT-201-ATT, line 10)	53	
54	Subtract line 53 from line 52 (if line 53 is more than line 52, leave blank)	54	12,676.
55	Yonkers resident income tax surcharge (see instructions)	55	
56	Yonkers nonresident earnings tax (Form Y-203)	56	
57	Part-year Yonkers resident income tax surcharge (Form IT-360.1)	57	
58	Total New York City and Yonkers taxes / surcharges (add lines 54 through 57)	58	12,676.
59	Sales or use tax (see instructions; do not leave line 59 blank)	59	0.

See Instructions  
compute New York City and  
Yonkers taxes, credits, and  
tax surcharges.**Voluntary contributions** (see instructions)

60a	Return a Gift to Wildlife	60a	
60b	Missing/Exploited Children Fund	60b	
60c	Breast Cancer Research Fund	60c	
60d	Alzheimer's Fund	60d	
60e	Olympic Fund (\$2 or \$4; see instructions)	60e	
60f	Prostate Cancer Research Fund	60f	
60g	9/11 Memorial	60g	
60h	Volunteer Firefighting & EMS Recruitment Fund	60h	
60	Total voluntary contributions (add lines 60a through 60h)	60	
61	Total New York State, New York City, and Yonkers taxes, sales or use tax, and voluntary contributions (add lines 46, 58, 59, and 60)	61	36,877.

NYIA1334 11/14/12

201003121030



Your social security number  
[REDACTED]

62 Enter amount from line 61 ..... 62 36,877.

**Payments and refundable credits** (see instructions)

63	Empire State child credit .....	63	
64	NYS/ NYC child and dependent care credit .....	64	
65	NYS earned income credit (EIC) .....	65	
66	NYS noncustodial parent EIC .....	66	
67	Real property tax credit .....	67	
68	College tuition credit .....	68	
69	NYC school tax credit (also complete F on page 1; see instrs) ..	69	
70	NYC earned income credit .....	70	
71	Other refundable credits (Form IT-201-ATT, line 18) .....	71	
72	Total New York State tax withheld .....	72	31,666.
73	Total New York City tax withheld .....	73	7,924.
74	Total Yonkers tax withheld .....	74	
75	Total estimated tax payments and amount paid with Form IT-370 .....	75	
76	Total payments (add lines 63 through 75) .....	76	39,590.

Submit your wage and tax statements with your return (see instructions).

**Your refund, amount you owe, and account information** (see instructions)

77 Amount overpaid (if line 76 is more than line 62, subtract line 62 from line 76) ..... 77 2,713.

78 Amount of line 77 to be refunded ..... 78 2,713.

Mark one refund choice: ☒ direct deposit (fill in line 83) - or - ☐ debit card - or - ☐ paper check ..

79 Amount of line 77 that you want applied to your 2013 estimated tax (see instructions) ..... 79

See instructions for information about your three refund choices.

80 Amount you owe (if line 76 is less than line 62, subtract line 76 from line 62).  
To pay by electronic funds withdrawal, mark an X in the box ☐ and fill in lines 83 and 84 .. 80

81 Estimated tax penalty (include this amount in line 80 or reduce the overpayment on line 77; see instructions) ..... 81

82 Other penalties and interest (see instructions) ..... 82

See page instructions for the proper assembly of your return.

83 Account information for direct deposit or electronic funds withdrawal (see instructions).

If the funds for your payment (or refund) would come from (or go to) an account outside the U.S., mark an X in this box (see instrs.) ..... ☐83a Account type: ☒ Personal checking - or - ☐ Personal savings - or - ☐ Business checking - or - ☐ Business savings

83b Routing number [REDACTED] 83c Account number [REDACTED]

84 Electronic funds withdrawal (see instructions) ..... Date [REDACTED] Amount [REDACTED]

Third-party designee? (see instr.) Yes <input type="checkbox"/> No <input type="checkbox"/>	Print designee's name	Designee's phone number	Personal identification number (PIN)
	E-mail:		

▼ Paid preparer must complete (see instr.) ▼		Date 02-26-2013
Preparer's signature [REDACTED]	Preparer's NYTPRN	
Firm's name (or yours, if self-employed)	Preparer's PTIN or SSN	
Address [REDACTED]	Employer identification number	
E-mail: [REDACTED]	Mark an X if self-employed <input checked="" type="checkbox"/>	

▼ Taxpayer(s) must sign here ▼	
Your signature	
Your occupation U.S. SENATOR	
Spouse's signature and occupation (if joint return) ADMINISTRATOR	
Date	Daytime phone number
E-mail:	

201004121030

NYIA1334 11/27/12



See instructions for where to mail your return.

2012

## Resident Itemized Deduction Schedule

IT-201-D

Submit this form with Form IT-201. See instructions for completing Form IT-201-D in the instructions for Form IT-201.

Name(s) as shown on your Form IT-201 <b>CHARLES E AND IRIS SCHUMER</b>	Your social security number [REDACTED]
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Whole dollars only

1 Medical and dental expenses (federal Schedule A, line 4) .....	1	
2 Taxes you paid (federal Schedule A, line 9) .....	2	49,032.
3 Interest you paid (federal Schedule A, line 15) .....	3	5,731.
4 Gifts to charity (federal Schedule A, line 19) .....	4	4,914.
5 Casualty and theft losses (federal Schedule A, line 20) .....	5	
6 Job expenses/miscellaneous deductions (federal Schedule A, line 27) .....	6	
7 Other miscellaneous deductions (federal Schedule A, line 28) .....	7	
8 Enter amount from federal Schedule A, line 29 .....	8	59,677.
9 State, local, and foreign income taxes (or general sales tax, if applicable) and other subtraction adjustments (see instructions) .....	9	39,813.
10 Subtract line 9 from line 8 .....	10	19,864.
11 Addition adjustments (see instructions) .....	11	
12 Add lines 10 and 11 .....	12	19,864.
13 Itemized deduction adjustment (see instructions) .....	13	4,966.
14 Subtract line 13 from line 12 .....	14	14,898.
15 College tuition itemized deduction (see Form IT-272) .....	15	
16 New York State itemized deduction (add lines 14 and 15; enter on Form IT-201, line 34) .....	16	14,898.

NYIA1306 11/14/12

201005121030



**SCHEDULE D**  
**(Form 1040)**

OMB No. 1545-0074

**Capital Gains and Losses**

**2012**

Department of the Treasury  
Internal Revenue Service (99)

- Attach to Form 1040 or Form 1040NR.  
► Information about Schedule D and its separate instructions is at [www.irs.gov/form1040](http://www.irs.gov/form1040).  
► Use Form 8949 to list your transactions for lines 1, 2, 3, 8, 9, and 10.

Attachment  
Sequence No. **12**

Name(s) shown on return

Your social security number

**CHARLES E & IRIS SCHUMER**

**Part I Short-Term Capital Gains and Losses – Assets Held One Year or Less**

Complete Form 8949 before completing line 1, 2, or 3. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price) from Form(s) 8949, Part I, line 2, column (d)	(e) Cost or other basis from Form(s) 8949, Part I, line 2, column (e)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1 Short-term totals from all Forms 8949 with box A checked in Part I .....				
2 Short-term totals from all Forms 8949 with box B checked in Part I .....				
3 Short-term totals from all Forms 8949 with box C checked in Part I .....				
4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824 .....				4
5 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1 .....				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 8 of your Capital Loss Carryover Worksheet in the instructions .....				6
7 Net short-term capital gain or (loss). Combine lines 1 through 6 in column (h). If you have any long-term capital gain or losses, go to Part II below. Otherwise, go to Part III on page 2 .....				7

**Part II Long-Term Capital Gains and Losses – Assets Held More Than One Year**

Complete Form 8949 before completing line 8, 9, or 10. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price) from Form(s) 8949, Part II, line 4, column (d)	(e) Cost or other basis from Form(s) 8949, Part II, line 4, column (e)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 4, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8 Long-term totals from all Forms 8949 with box A checked in Part II .....	15,000.	14,977.		23.
9 Long-term totals from all Forms 8949 with box B checked in Part II .....				
10 Long-term totals from all Forms 8949 with box C checked in Part II .....				
11 Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824 .....				11
12 Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1 .....				12
13 Capital gain distributions. See instrs .....				13
14 Long-term capital loss carryover. Enter the amount, if any, from line 13 of your Capital Loss Carryover Worksheet in the instructions .....				14
15 Net long-term capital gain or (loss). Combine lines 8 through 14 in column (h). Then go to Part III on page 2 .....				15 23.

BAA For Paperwork Reduction Act Notice, see your tax return instructions.

Schedule D (Form 1040) 2012

**Part III Summary**

16 Combine lines 7 and 15 and enter the result .....	16	23.
<ul style="list-style-type: none"> <li>• If line 16 is a <b>gain</b>, enter the amount from line 16 on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 17 below.</li> <li>• If line 16 is a <b>loss</b>, skip lines 17 through 20 below. Then go to line 21. Also be sure to complete line 22.</li> <li>• If line 16 is <b>zero</b>, skip lines 17 through 21 below and enter -0- on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 22.</li> </ul>		
17 Are lines 15 and 16 both gains?		
<input checked="" type="checkbox"/> <b>Yes.</b> Go to line 18. <input type="checkbox"/> <b>No.</b> Skip lines 18 through 21, and go to line 22.		
18 Enter the amount, if any, from line 7 of the <b>28% Rate Gain Worksheet</b> in the instructions .....	18	
19 Enter the amount, if any, from line 18 of the <b>Unrecaptured Section 1250 Gain Worksheet</b> in the instructions .....	19	
20 Are lines 18 and 19 both zero or blank?		
<input checked="" type="checkbox"/> <b>Yes.</b> Complete the <b>Qualified Dividends and Capital Gain Tax Worksheet</b> in the instructions for Form 1040, line 44 (or in the instructions for Form 1040NR, line 42). <b>Do not</b> complete lines 21 and 22 below. <input type="checkbox"/> <b>No.</b> Complete the <b>Schedule D Tax Worksheet</b> in the instructions. <b>Do not</b> complete lines 21 and 22 below.		
21 If line 16 is a loss, enter here and on Form 1040, line 13, or Form 1040NR, line 14, the <b>smaller</b> of:		
<ul style="list-style-type: none"> <li>• The loss on line 16 or</li> <li>• (\$3,000), or if married filing separately, (\$1,500) ] .....</li> </ul>	21	
<b>Note.</b> When figuring which amount is smaller, treat both amounts as positive numbers.		
22 Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b?		
<input type="checkbox"/> <b>Yes.</b> Complete the <b>Qualified Dividends and Capital Gain Tax Worksheet</b> in the instructions for Form 1040, line 44 (or in the instructions for Form 1040NR, line 42). <input type="checkbox"/> <b>No.</b> Complete the rest of Form 1040 or Form 1040NR.		

Schedule D (Form 1040) 2012



Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

SSN or taxpayer identification no.

**CHARLES E & IRIS SCHUMER**

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS  
☐ (B) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS  
☐ (C) Long-term transactions not reported to you on Form 1099-B

3 (a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
					(f) Code(s) from instructions	(g) Amount of adjustment	
15000.0000 sh. FNMA SECURITY	09/26/02	09/17/12	15,000.	14,977.			23.
<b>4 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8 (if Box A above is checked), line 9 (if Box B above is checked), or line 10 (if Box C above is checked) .....			15,000.	14,977.			23.

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.